

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

Form PTO-1449 (Modified)  
(Use several sheets if necessary)



**COMPLETE IF KNOWN**

Application Number 10/821,021  
Confirmation Number 9232  
Filing Date April 7, 2004  
First Named Inventor Allen, et al.  
Group Art Unit 1615  
Examiner Name Kishore, Gollamudi  
Attorney Docket No. 55325-8146.US07

Sheet 1 of 2

**U.S. PATENT DOCUMENTS**

Examiner Initials*	Cite No.	U.S. Patent or Application		Name of Patentee or Inventor of Cited Document	Date of Publication or Filing Date of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		NUMBER	Kind Code (if known)			
	1.	5,426,112		Zagon et al.	06/20/05	
	2.	5,719,032		Vielkind, Juergen R.	2/17/98	
	3.	5,840,687		Harkins et al.	11/24/98	
	4.	6,056,973		Allen et al.	5/2/00	
	5.	6,129,916		Chang, Tse Wen	10/10/00	
	6.	6,224,903		Martin et al.	5/1/01	
	7.	6,936,272		Martin et al.	8/30/05	
	8.	7,122,202		Allen et al.	10/17/06	
	9.	2003/0215490	A1	Allen et al.	11/20/03	
	10.	2004/0191307	A1	Allen et al.	9/30/04	
	11.	2004/0191250	A1	Allen et al.	9/30/04	
	12.	2005/0136064	A1	Allen et al.	6/23/05	
	13.	2005/0169880	A1	Glick et al.	8/4/05	

**FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Cite No.	Foreign Patent or Application			Name of Patentee or Applicant of Cited Document	Date of Publication or Filing Date of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T
		Office	NUMBER	Kind Code (if known)				
	14.	EPO	0 932 391	B1	Sequus Pharm., Inc.	5/2/03		
	15.	PCT	WO 94/22429	A3	Liposome Technology, Inc.	09/29/94		
	16.	PCT	WO 98/16202	A3	Sequus Pharm., Inc.	4/23/98		

EXAMINER /Gollamudi Kishore/

DATE CONSIDERED

06/24/2009

\*EXAMINER: Initial if reference considered, whether or not criteria is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to application(s).

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

Form PTO-1449 (Modified)  
(Use several sheets if necessary)

**COMPLETE IF KNOWN**

Application Number	10/821,021
Confirmation Number	9232
Filing Date	April 7, 2004
First Named Inventor	Allen, et al.
Group Art Unit	1615
Examiner Name	Kishore, Gollamudi
Attorney Docket No.	55325-8148.US07

Sheet 2 of 2

**OTHER PRIOR ART-NON PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume issue number(s), publisher, city and/or country where published.	T
	17.	Ahmad et al., "Antibody-mediated Specific Binding and Cytotoxicity of Liposome-entrapped Doxorubicin to Lung Cancer Cells in Vitro", <i>Cancer Research</i> , 52:4817-4820 (1992).	
	18.	Allen et al., "Liposomes containing synthetic lipid derivatives of poly(ethylene glycol) show prolonged circulation half-lives in vivo", <i>Biochimica et Biophysica Acta</i> , 1066:29-36 (1991).	
	19.	Behr, Jean-Paul, "Gene Transfer with Synthetic Cationic Amphiphiles: Prospects for Gene Therapy", <i>Bioconjugate Chem.</i> , 5:382-389, (1994).	
	20.	Blaese et al., "Vectors in cancer therapy: how will they deliver?", <i>Cancer Gene Therapy</i> , 2(4):291-297, (1995).	
	21.	Carter et al., "High Level <i>Escherichia coli</i> Expression and Production of a Bivalent Humanized Antibody Fragment", <i>BioTechnology</i> , 10:163-167, (1992).	
	22.	Felgner et al., "Enhanced Gene Delivery and Mechanism Studies with a Novel Series of Cationic Lipid Formulations", <i>The Journal of Biological Chemistry</i> , 269(4):2550-2561, (1994).	
	23.	Gao et al., "Cationic liposome-mediated gene transfer", <i>Gene Therapy</i> , 2:710-722, (1995).	
	24.	Heath, T., et al., <i>Experimental Cell Research</i> , 149:163-175 (1983).	
	25.	Klibanov, A.L., et al., <i>FEBS Letters</i> , 268(1):235-237 (1990).	
	26.	Lear and Rafalski, <i>Viral Fusion Mechanisms</i> , J.Bentz (ed), CRC Press, Boca Raton, pgs. 56-66 (1993).	
	27.	Lee and Low, <i>Biochimica et Biophysica ACTA</i> , 1233:134-144 (1995).	
	28.	Maruyama, K., et al., <i>Proc Natl Acad Sci USA</i> , 87:5744, (1990).	
	29.	Mayhew et al., "Role of Cholesterol in Enhancing the Antitumor Activity of Cytosine Arabinoside Entrapped in Liposomes", <i>Cancer Treatment Reports</i> , 63(11-12):1923-1928, (1979).	
	30.	Plum et al., "Condensation of DNA by Trivalent Cations. 2. Effects of Cation Structure", <i>Biopolymers</i> , 30:631-643, (1990).	
	31.	Remy et al., "Gene Transfer with a Series of Lipophilic DNA-Binding Molecules", <i>Bioconjugate Chem.</i> , 5:647-654, (1994).	
	32.	Sinha, D., et al., <i>Biochem. Biophys. Res. Comm.</i> , 90(2):554 (1979).	

EXAMINER /Gollamudi Kishore/

DATE CONSIDERED 06/24/2009

\*EXAMINER: Initial if reference considered, whether or not criteria is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to application(s).